

## CIRCULAR

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### NSE

Alternate Risk Management Framework Applicable in case of Near Zero and Negative Prices

SEBI Order in the matter of Dewan Housing Finance Corporation Limited

Expulsion of member

Non Neat Systems – Vendor for Direct Market Access

List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

NEAT user id password reset and unlocking request through ENIT

NEAT user id password reset and unlocking request through ENIT

Revised list of Cross Margin Eligible Exchange Traded Funds (ETFs)

Non-Neat System Approvals – Reminder

Cessation of Membership

### BSE

Resignation of Trading Member

CHANGE IN CIRCUIT FILTER

Offer for Sale for GMM Pfaudler Limited – Oversubscription

BSE eKYC services (Aadhaar & Video KYC based Investor Onboarding Solution) on BSE StAR MF Platform – Update

Cyber Security Advisory – Prevention of DDoS Cyber-attacks and Cyber Security preparedness

Mock Trading on Saturday, September 26, 2020 from DR sites for Commodity Derivative segment

### MCX

System Audit of Algorithmic Trading Facility (ATF) Software (Type III)

Modification in Business Rules of the Exchange

Mock Trading from Disaster Recovery Site (DRS)

Cyber Security & Cyber Resilience Audit – ATF Members (Type III)

Margin Framework for Commodity Derivatives Segment

Mock Trading from Disaster Recovery Site (DRS)

MCX Webinar on Addressing Risk Management Needs of Bullion and Jewellery Industry

Revision in Clearing Banks list

## ICEX

REPORTING FOR ARTIFICIAL INTELLIGENCE (AI) AND MACHINE LEARNING (ML) APPLICATIONS AND SYSTEMS OFFERED AND USED BY MARKET INTERMEDIARIES

INTERNAL RISK ASSESSMENT OF REGISTERED INTERMEDIARIES

UNSC PRESS RELEASE AND SANCTIONS LIST

SYSTEM AUDIT AND CYBER SECURITY & CYBER RESILIENCE AUDIT OF TRADING MEMBER - REMINDER

ALTERNATE RISK MANAGEMENT FRAMEWORK APPLICABLE IN CASE OF NEAR ZERO AND NEGATIVE PRICES

## NCDEX

Cyber Security Advisory – Prevention of DDoS Cyber-attacks and Cyber Security Preparedness

Updates for Implementation of Section 51A of UAPA, 1967

Mock trading – Options in Goods on 26 September 2020

Alternate Risk Management Framework Applicable in case of Near Zero and Negative Prices

Client Margin Reporting

## NSDL

No Circulars

## INDIA INX

Regulatory measures to continue

## NSE IFSC

Cyber Security Advisory – Prevention of DDoS Cyber attacks and Cyber Security preparedness

## SEBI

RITES Limited – Public Announcement

Order in the matter of Mangalam Drugs and Organics Ltd.

Adjudication Order in the matter of Beckons Industries Limited in respect of Dr. Chandra Prakash.

Adjudication order in respect of five entities in the matter of Alacrity Securities Ltd.

Adjudication order in respect of 16 entities in the matter of Focus Industrial Resources Limited

Adjudication Order in respect of Five Entities in the matter of Alacrity Securities Ltd.

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